State of California - Department of Justice - Attorney General's Office - Proposition 65 Enforcement Reporting

FORM JUS 1501 (03-01)

Attention: Prop 65 Coordinator, 1515 Clay Street, Suite 2000, Oakland, CA 94612

PRIVATE ENFORCEMENT FILING - Health and Safety Code section 25249.7(e) and (f)

REPORT OF SETTLEMENT

Shefa LMV LLC DEFENDANTISS MODUFED NSETTLEMENT Lincoln Products, Inc. DUSTROCKETNUMBER BC529596 Los Angeles Superior Court Los Angeles Superior Court Los Angeles Superior Court Los Angeles Superior Court Short Say Name Shefa LMV LLC v. Lincoln Products, Inc. DUSTROCKETNUMBER LOS Angeles Superior Court Los Angeles	Please	print or type required information		Supplemental F	iling \square	Corrected Filing		
Lincoln Products, Inc. COURTDOCKETNUMBER		PLAINTIFF(S) Shefa LMV LLC						
BC529596 SHORT CASE NAME Shefa LMV LLC v. Lincoln Products, Inc. MUSUALTIVE RELIEF Reformulation and/or warning label	PARTIES TO THE ACTION	DEFENDANT(S) INVOLVED IN SETTLEMEN Lincoln Products,	Inc.					
SHORT CASE NAME Shefa LMV LLC v. Lincoln Products, Inc. NJUNCTIVE RELIEF Reformulation and/or warning label						es Superio	r Cou	rt
Reformulation and/or warning label PAYMENT: CIVIL PENALTY PAYMENT: ATTORNEYS FEES PAYMENT: OTHER \$20,000 \$22,500 0.00 WILL SETTLEMENT BE SUBMITTED TO COURT? PEPORT OF ENTRY OF JUDGMENT BY MUST BE SUBMITTED TO ATTORNEY GENERAL COPY OF SETTLEMENT MUST BE ATTACHED NAME OF CONTACT Daniel N. Greenbaum ORGANIZATION Law Office of Daniel Greenbaum ORGANIZATION Law Office of Daniel Greenbaum ADDRESS 14752 Otsego Street CITY STATE ZIP E-MAIL ADDRESS E-MAIL ADDRESS CITY STATE ZIP E-MAIL ADDRESS	CAS	SHORT CASE NAME Shefa LMV LLC v. Li	ncoln Produc	ts, Inc		_		
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FILING INSTRUCTIONS: This form can be completed online and printed. If electronic filing is not available, mail the completed form with a copy of the settlement to the attention of the Prop 65 Coordinator at the address shown above. If you need additional space to complete this form please use an attachment.

1 2 3 4 5	Daniel N. Greenbaum, Esq. (SBN 268104) LAW OFFICE OF DANIEL N. GREENBAUM 14752 Otsego Street Sherman Oaks, CA 91403 Telephone: (310) 200-2631 Facsimile: (424) 243-7689 Email: danielgreenbaumesq@gmail.com Attorney for SHEFA LMV, LLC				
6 7 8 9	SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF LOS ANGELES CENTRAL DISTRICT				
11 12 13 14 15 16 17 18	SHEFA LMV, LLC, Plaintiff, vs. LINCOLN PRODUCTS, INC., et al. Defendants. Case No.: BC529596 Unlimited Jurisdiction Honorable Teresa Sanchez-Gordon Department 74 [PROPOSED] STIPULATED CONSENT JUDGMENT				
20	1. DEFINITIONS				
21	1.1 The products covered by this Consent Judgment shall be designated "Covered				
22	Products."				
23	1.2 Covered Products are defined as the following plumbing replacement parts				
24	manufactured, distributed, and/or sold by Defendants that are not lead free: Spare Part Nos.				
25	900-315, 900-982, 910-007, 910-014, 910-020, 910-022, 910-030, 910-042, 910-046, 910-				
26	305, 910-371, 910-374, 910-385, 910-671, 930-020, 931-500, 960-802, 962-027, 962-240,				
27	962-240a, 970-035, 970-500, 972-052, 972-827, 974-075, 974-076, 974-077, 974-078, 974-				
28	221, 974-700, 975-003, and tx8-0001.				

[PROPOSED] STIPULATED CONSENT JUDGMENT

- 1.3 The term "Effective Date" means the date this Consent Judgment is approved by the Los Angeles County Superior Court.
- 1.4 The term "Proposition 65" means California Health and Safety Code section 25249.5 et seq.
 - 1.5 The term "Listed Chemical" means lead and lead compounds.
 - 1.6 The terms "Shefa" or "Plaintiff" mean Plaintiff Shefa LMV, LLC.
- 1.7 The term "Defendants" means Lincoln Products, Inc. ("Lincoln"), Ferguson Fire & Fabrication, Inc. ("FFFI"), Ferguson Enterprises, Inc. ("FEI") and Price Pfister, Inc. ("Price"); and the singular term "Defendant" shall refer to each of the respective Defendants. Shefa and Defendants are collectively referred to as the "Parties."

2. INTRODUCTION

- 2.1 This Consent Judgment is entered into by and between Shefa and Defendants.
- 2.2 Shefa is a company residing in California that is acting as a private enforcer pursuant to California Health & Safety Code § 25249.7(d).
- 2.3 For purposes of this Consent Judgment, each Defendant employs 10 or more persons and is a person doing business in California for the purpose of Proposition 65.
- 2.4 On or about August 21, 2013, Shefa served Lincoln, Home Depot, FFFI, Price and various public enforcement agencies with a document entitled "60-Day Notice of Violation" pursuant to Health & Safety Code §25249.7(d) (the "Notice"), alleging that Defendants were in violation of Proposition 65.
- 2.5 The Notice alleges that Defendants have manufactured, distributed, and/or offered for sale in California certain plumbing replacement parts that expose consumers to lead and lead compounds without the requisite Proposition 65 warnings.
- 2.6 Lead and lead compounds (the "Listed Chemical") are listed pursuant to Proposition 65 as a chemical known to the State of California to cause cancer, birth defects and other reproductive harm.
- 2.7 The Notice alleges that Defendants' conduct violates Health & Safety Code §25249.6, the warning provision of Proposition 65.

- 2.8 On December 4, 2013, Plaintiff filed the action entitled *Shefa LMV*, *LLC v*. *Lincoln Products, Inc., et al.*, Case No. BC529596, alleging violations of Proposition 65, as well as Business and Professions Code section 17200, et seq. (the "Action").
- 2.9 Defendants deny the material, factual, and legal allegations contained in the Notice and Complaint, and maintain that all products sold, distributed, or offered for sale in California have been and are in compliance with all laws, including, without limitation, Proposition 65.
- 2.10 The Parties enter into this Consent Judgment to resolve all Proposition 65 claims concerning the Covered Products.
- 2.11 By executing this Consent Judgment, the Parties do not admit any facts or conclusions or law.
- 2.12 It is the Parties' intent that nothing in this Consent Judgment shall be construed as an admission by the Parties of any fact, conclusion of law, issue of law, or violation of law, nor shall compliance with the Consent Judgment constitute or be construed as an admission by the Parties of any fact, conclusion of law, issue of law, or violation of law.
- 2.13 Upon approval of this Consent Judgment, the Parties waive their respective rights to a hearing or a trial on the allegations of the Complaint.
- 2.14 Nothing in this Consent Judgment shall prejudice, waive, or impair any right, remedy, argument, or defense the Parties may have in any other or future legal proceedings, except as provided in this Consent Judgment.

3. INJUNCTIVE RELIEF -- PRODUCT LABELING

- 3.1 On or before one hundred and eighty (180) days after the Effective Date, Defendants shall not ship or deliver for sale or distribution in California Covered Products that contain lead and/or lead compounds, unless such Covered Products are shipped or delivered with one of the clear and reasonable warnings set forth in Section 3.4.
- 3.2 Each warning required by Section 3.1 shall be prominently placed upon a product's label or other labeling or displayed at the retail outlet with such conspicuousness, as

compared with other words, statements, designs, or devices in the label, labeling or display as to render it likely to be read and understood by an ordinary individual under customary conditions of purchase or use.

- 3.3 Each warning required by Section 3.1 shall be provided in a manner such that the consumer or user understands to which specific Product the warning applies, so as to minimize the risk of consumer confusion.
- 3.4 **Product Labeling.** The following warning statement shall be applied to the Products:

"WARNING: This product contains chemicals [including lead and lead compounds] known to the State of California to cause cancer, and birth defects or other reproductive harm. [Do not use in connection with drinking water.] [Wash hands after handling.]"

- 3.5 The word "WARNING" shall be in bold.
- 3.6 Bracketed language may be omitted at Defendants' option.
- 3.7 Defendants may add additional listed chemicals to the warning unless the Attorney General advises that the inclusion of such chemicals would render the warning misleading or constitute over warning.

4. SETTLEMENT PAYMENTS

- 4.1 Payment of Civil Penalties. In settlement of all the claims referred to in this Consent Judgment, Defendants have been assessed a total of \$20,000.00 in civil penalties in accordance with this Section. The penalty payment will be allocated in accordance with California Health & Safety Code section 25249.12(c)(1) & (d), with 75% of the funds remitted to the California Office of Environmental Health Hazard Assessment ("OEHHA") and the remaining 25% of the penalty remitted to Shefa. Each separate penalty payment shall be made within five (5) business days of the date it is due and be delivered to the addresses listed in Section 4.3, below.
- 4.2 Civil Penalty. Within ten (10) days of execution by the parties of this [Proposed] Stipulated Consent Judgment, Lincoln shall issue a check for Defendants' civil penalty in the amount of \$20,000.00 to "Wood, Smith, Henning & Berman LLP" ("Wood

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Smith"). Wood Smith shall provide Shefa's counsel with written confirmation within five (5) days of receipt that the funds have been deposited in a trust account. Within five (5) days of the date that this Consent Judgment is approved by the Court, Wood Smith shall issue two (2) separate checks for the initial civil penalty payment to: (a) "OEHHA" in the amount of \$15,000.00; and (b) "Shefa LMV, LLC" in the amount of \$5,000.00.

4.3 Reimbursement of Shefa's Fees and Costs.

- **4.3.1** The Parties acknowledge that Shefa and its counsel offered to resolve this dispute without reaching terms on the amount of fees and costs to be reimbursed to them, thereby leaving this fee issue to be resolved after the material terms of the agreement had been settled.
- **4.3.2** Defendants expressed a desire to resolve the fee and cost issue after the other settlement terms had been agreed.
- 4.3.3 The Parties then reached an accord on the compensation due to Shefa and its counsel under general contract principles and the private attorney general doctrine codified at California Code of Civil Procedure section 1021.5, for all work performed in this matter, except fees that may be incurred on appeal.
- **4.3.4** Under these legal principles, Defendants shall pay the amount of \$22,500.00 for fees and costs incurred investigating, litigating and enforcing this matter, including the fees and costs incurred (and yet to be incurred) negotiating, drafting, and obtaining approval of this Stipulated Consent Judgment in the public interest.
- 4.3.5 Lincoln, within ten (10) days of execution of this Consent Judgment, shall issue a check payable to "Wood, Smith, Henning & Berman LLP" in the amount of \$22,500.00 to be held in trust by Wood Smith for the Law Office of Daniel N. Greenbaum. Wood Smith shall provide Shefa's counsel with written confirmation within five (5) days of receipt that the funds have been deposited in a trust account. Within five (5) days of the date this Consent Judgment is approved by the Court, Wood Smith shall issue a check payable to "Law Office of Daniel N. Greenbaum" in the amount of \$22,500.00 to the address listed in Section 4.4(a), below.

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- **4.4** Payment Procedures. All payments shall be delivered as follows:
- (a) All payments owed to Shefa or its counsel, pursuant to Sections 4.1 through 4.3, shall be delivered to the following payment address:

Law Office of Daniel N. Greenbaum 14752 Otsego Street Sherman Oaks, CA 91403

(b) All payments owed to OEHHA, pursuant to Sections 4.1 through 4.3, shall be delivered directly to OEHHA (Memo line "Prop 65 Penalties") at the following addresses:

For United States Postal Service Delivery:

Mike Gyurics Fiscal Operations Branch Chief Office of Environmental Health Hazard Assessment P.O. Box 4010 Sacramento, CA 95812-4010

For Non-United States Postal Service Delivery:

Mike Gyurics Fiscal Operations Branch Chief Office of Environmental Health Hazard Assessment 1001 I Street Sacramento, CA 95814

With a copy of the checks payable to OEHHA mailed to Law Offices of Daniel N. Greenbaum at the address set forth above, as proof of payment to OEHHA.

4.5 **Dismissal**. Upon the entry of the Consent Judgment, Shefa will dismiss Home Depot with prejudice.

5. ENFORCEMENT

Shefa, by motion or application for an order to show cause before the Los Angeles County Superior Court, may enforce the terms and conditions contained in this Consent Judgment. Prior to bringing any motion or application to enforce the requirements of Section 3 above, Shefa shall provide the Defendants with Notice of Violation and a copy of any test results which purportedly support Shefa's Notice of Violation. The Parties shall then meet and confer

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regarding the basis for Shefa's anticipated motion or application in an attempt to resolve the matter informally, including providing Settling Defendants a reasonable opportunity of at least thirty (30) days to cure any alleged violation. Should such attempts at informal resolution fail, Shefa may file its enforcement motion or application. The prevailing party on any motion to enforce this Consent Judgment shall be entitled to its reasonable attorney's fees and costs incurred as a result of such motion or application.

6. CLAIMS COVERED AND RELEASED

- Full and Binding Resolution. This Consent Judgment is a full, final, and 6.1 binding resolution between Shefa on behalf of itself, and in its representative capacity, its past and current attorneys, agents, representatives, successors, assigns, and in the public interest pursuant to California Health and Safety Code section 25249.7(d), on the one hand, and Defendants, and each of them, and their respective parents, shareholders, divisions, subdivisions, subsidiaries, partners, sister companies, affiliates, directors, officers, employees, attorneys, and any and all successors and assigns ("Defendant Releasees"), all entities to whom they directly or indirectly distribute or sell or have in the past directly or indirectly distributed or sold Covered Products, including but not limited to distributors, wholesalers, customers, retailers, franchisees, cooperative members, and Defendant Releasees' licensors and licensees, including but not limited to, Home Depot ("Downstream Defendant Releasees"), on the other hand, regarding any violation of Proposition 65 or the Unfair Competition Act that was or could have been asserted against Defendants and Downstream Defendant Releasees, with respect to the failure to warn about any Covered Products manufactured, shipped, distributed or sold by Defendant Releasees and Downstream Defendant Releasees prior to the Effective Date.
- **6.2** Compliance. Compliance with the terms of this Consent Judgment constitutes compliance with Proposition 65 with respect to exposures to the Listed Chemical from the Covered Products.
- 6.3 Individual Release. In further consideration of the promises and agreements herein contained, the injunctive relief commitments set forth in Section 3, and for the

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payments to be made pursuant to Section 4, Shefa, on behalf of itself, its past and current agents, representatives, attorneys, including but not limited to Daniel Greenbaum, Esq., successors, and/or assignees and not in its representative capacity, hereby waives all rights to institute or participate in, directly or indirectly, any form of legal action and releases all claims, including, without limitation, all actions, and causes of action, in law or in equity, suits, liabilities, demands, obligations, damages, costs, fines, penalties, losses, or expenses (including, but not limited to, investigation fees, expert fees, and attorneys' fees) of any nature whatsoever, whether known or unknown, fixed, or contingent (collectively "Claims") that were brought or could have been brought against Defendant and Defendant Downstream Releasees based on claims arising under Proposition 65 with respect to Listed Chemicals in the Covered Products, as such claims relate to the alleged failure to warn under California

Health and Safety Code section 25249.6. 6.4 General Release. In furtherance of the foregoing, Shefa on its own behalf and not in its representative capacity, hereby waives any and all rights and benefits which it now has, or in the future may have respecting the Covered Products, conferred upon it with respect to claims involving Covered Products by virtue of the provisions of Section 1542 of the California Civil Code, which provides as follows:

> A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR.

By executing this Consent Judgment, Shefa understands and acknowledges that the significance and consequence of this waiver of California Civil Code Section 1542 is that even if Shefa suffers future damages arising out of or resulting from, or directly related to or indirectly to, in whole or in part, the Covered Products, including but not limited any exposure to, or failure

to warn with respect to exposure to lead or lead compounds from the Covered Products, Shefa will not be able to make any claim for those damages against Defendants and Defendant Downstream Releasees, and the successors and assigns of any of them, who may manufacture, use, maintain, distribute, retail, or sell the Covered Product.

- 6.5 Furthermore, Shefa acknowledges that it intends these consequences for any such claim and any other claims related to the Action which may exist as of the date of this release pertaining to the Covered Products listed in the Notice but which Shefa does not know exist, and which, if known, would materially affect its decision to enter into this Consent Judgment, regardless of whether the lack of knowledge is the result of ignorance, oversight, error, negligence, or any other cause.
- 6.6 **Defendants' Release.** On behalf of themselves and Defendant Downstream Releasees, Defendants waive all rights to institute any form of action against Shefa, its attorneys, consultants, and representatives for all actions taken or statements made in the course of this Action prior to the date of the execution of this Consent Judgment.
- 6.7 Liability for Covered Products that were manufactured and/or distributed for retail sale in California prior to the Effective Date shall be subject to the release of liability pursuant to Section 6 of this Consent Judgment, without regard to when such Covered Products were, or are in the future, sold to consumers.

7. MODIFICATION

- 7.1 This Consent Judgment may be modified from time to time by express written agreement of the Parties, with the approval of the Court, or by an order of this Court upon motion and in accordance with law.
- 7.2 A Party seeking to modify this Consent Judgment shall attempt in good faith to meet and confer with all affected Parties prior to filing a motion to modify the Consent Judgment.

8. ENTIRE AGREEMENT

8.1 This Consent Judgment contains the sole and entire agreement and understanding of the Parties with respect to the entire subject matter hereof, and any and all

prior discussions, negotiations, commitments, or understandings related thereto, if any, are hereby merged herein and therein.

- **8.2** No representations, oral or otherwise, express or implied, other than those specifically referred to in this Consent Judgment have been made by any Party hereto.
- 8.3 No supplementation, modification, waiver, or termination of this Consent Judgment shall be binding unless executed in writing by the Party to be bound thereby.
- **8.4** No waiver of any of the provisions of this Consent Judgment shall be deemed or shall constitute a waiver of any of the other provisions hereof whether or not similar, nor shall such waiver constitute a continuing waiver.

9. GOVERNING LAW AND APPLICATION

- 9.1 The terms of this Consent Judgment shall be governed by the laws of the State of California and shall apply only to Covered Products that are sold or offered for sale in the State of California.
- 9.2 In the event that Proposition 65 is repealed, preempted or is otherwise rendered inapplicable by reason of law generally, or if any of the provisions of this Consent Judgment are rendered inapplicable or no longer required as a result of any such repeal or preemption or rendered inapplicable by reason of law generally as to the Covered Products, then Defendants shall notify Shefa and its counsel and may have no further obligations pursuant to this Consent Judgment with respect to, and to the extent that, the Covered Products are so affected.

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- 9.3 This Consent Judgment shall apply to and be binding upon the Parties and their divisions, subdivisions, and subsidiaries, and the Parties' successors and assigns.
- 9.4 The Parties, including their counsel, have participated in the preparation of this Consent Judgment and this Consent Judgment is the result of the joint efforts of the Parties.
- 9.5 This Consent Judgment was subject to revision and modification by the Parties and has been accepted and approved as to its final form by all Parties and their counsel.

15. COURT APPROVAL

- 15.1 This Consent Judgment shall become effective upon entry by the Court.
- 15.2 Shefa shall prepare and file a Motion for Approval of this Consent Judgment and Defendants shall support entry of this Consent Judgment.
- 15.3 If this Consent Judgment is not entered by the Court, it shall be of no force or effect and shall never be introduced into evidence or otherwise used in any proceeding for any purpose other than to allow the Court to determine if there was a material breach of the previous section.

16. AUTHORIZATION

Each signatory to this Consent Judgment certifies that he or she is fully authorized by the party he or she represents to stipulate to this Consent Judgment and to enter into and execute the Consent Judgment on behalf of the party represented and legally bind that party.

17. REQUEST FOR FINDINGS, APPROVAL OF SETTLEMENT AND ENTRY OF CONSENT JUDGMENT

- 17.1 This Consent Judgment came before this Court upon the request of the Parties.
- 17.2 The Parties request the Court to review this Consent Judgment and to make the following findings pursuant to Cal. Health & Safety Code § 25249.7(f)(4):
 - 1. The injunctive relief required by the Consent Judgment complies with Cal. Health & Safety Code § 25249.7;
 - 2. The reimbursement of fees and costs to be paid pursuant to the Consent Judgment is reasonable under California law; and
 - 3. The civil penalty amount to be paid pursuant to Consent Judgment is reasonable.

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2	The undersigned have read, under	erstand and agree to all of the terms and conditions of					
3	this Consent Judgment.						
4	Except as explicitly provided herein, each party is to bear its own fees and costs.						
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6	AGREED TO:						
7	Dated: June, 2014	Shefa LMV, LLC					
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9		Ву:					
10		Title:					
11		1100.					
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13	Dated: June, 2014	Lincoln Products, Inc., Ferguson Fire & Fabrication, Inc. and Ferguson Enterprises, Inc.					
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15 16							
17		Ву:					
18		Title:					
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20							
21	Dated: June 13 , 2014	Price Pfister, Inc.					
22		Makey Williams					
23		Matsur Williams By: Alston E. Williams					
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25		Title: V.P. of Engineering					
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[PROPOSED] STIPULATED CONSENT JUDGMENT

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3	The undersigned have read, understand and agree to all of the terms and conditions of						
4	this Consent Judgment.						
5	Except as explicitly provided herein	, each party is to bear its own fees and costs.					
6							
7	AGREED TO:						
8	Dated: June <u>26</u> , 2014 Shefa LMV, LLC						
9		<u>alas</u>					
10		By: Alisa Fried					
11		Title: Managing Member					
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13	210						
14	Dated: June 24, 2014	Lincoln Products, Inc., Ferguson Fire & Fabrication, Inc. and Ferguson Enterprises, Inc.					
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16		Turny E. Hore					
17		By: 15/4					
18		Title: 3VP					
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21	Dated: June, 2014	Price Pfister, Inc.					
22	Dated. Julic, 2014	Thee I lister, me.					
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ORDER AND JUDGMENT Based upon the Stipulated Consent Judgment between Shefa LMV, LLC, on the one hand, and Lincoln Products, Inc., Ferguson Fire & Fabrication, Inc., Ferguson Enterprises, Inc., and Price Pfister, Inc., on the other hand, the settlement is approved and the clerk is directed to enter judgment in accordance with the terms herein. Dated: Judge of the Superior Court

[PROPOSED] STIPULATED CONSENT JUDGMENT